



# A brief introduction to Logic – part I

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# A Brief Introduction to Logic - Outline

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- Brief historical notes on logic
- Propositional Logic :Syntax
- Propositional Logic :Semantics
- Satisfiability and validity
- Modeling with Propositional logic
- Normal forms
- Deductive proofs and resolution



# Historical view

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- Philosophical Logic
  - 500 BC to 19th Century
- Symbolic Logic
  - Mid to late 19th Century
- Mathematical Logic
  - Late 19<sup>th</sup> to mid 20<sup>th</sup> Century
- Logic in Computer Science



# Philosophical Logic

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- 500 B.C – 19th Century
- Logic dealt with arguments in the natural language used by humans.
- Example
  - All men are mortal.
  - Socrates is a man
  - Therefore, Socrates is mortal.



# Philosophical Logic

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- Natural languages are very ambiguous.
  - Eric does not believe that Mary can pass **any** test.
    - ...does not believe that she can pass *some* test, or
    - ...does not believe that she can pass *all* tests
  - I **only** borrowed your car.
    - And not ‘borrowed and used’, or
    - And not ‘car and coat’
  - Tom hates Jim and **he** likes Mary.
    - Tom likes Mary, or
    - Jim likes Mary
- It led to many paradoxes.
  - “This sentence is a lie.” (The Liar’s Paradox)



# Sophism

(From Wikipedia)

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- ... **Sophism** generally refers to a **particularly confusing, illogical and/or insincere argument** used by someone to make a point, or, perhaps, not to make a point.
- **Sophistry** refers to [...] rhetoric that is designed to appeal to the listener on grounds **other than** the strict **logical** cogency of the statements being made.



## The Sophist's Paradox

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- A Sophist is sued for his tuition by the school that educated him. He argues that **he must win**, since, if he loses, the school didn't educate him well enough, and doesn't deserve the money.
- The school argues that **he must lose**, since, if he wins, he was educated well enough, and therefore should pay for it.



# Logic in Computer Science

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- Logic has a profound impact on computer-science.  
Some examples:
  - Propositional logic – the foundation of computers and circuitry
  - Databases – query languages
  - Programming languages (e.g. prolog)
  - Design Validation and verification
  - AI (e.g. inference systems)
  - ...





# Logic in Computer Science

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- Propositional Logic
- First Order Logic
- Higher Order Logic
- Temporal Logic
- ...
- ...



# Propositional logic

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- A proposition – a sentence that can be either true or false.
- Propositions:
  - $x$  is greater than  $y$
  - Noam wrote this letter

# Propositional logic: Syntax

- The symbols of the language:
  - Propositional symbols (Prop):  $A, B, C, \dots$
  - Connectives:
    - $\wedge$  and
    - $\vee$  or
    - $\neg$  not
    - $\rightarrow$  implies
    - $\leftrightarrow$  equivalent to
    - $\oplus$  xor (different than)
    - $\perp, \top$  False, True
  - Parenthesis:  $(, )$ .
- Q1: how many different binary symbols can we define ?
- Q2: what is the minimal number of such symbols?



# Formulas

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- Grammar of **well-formed** propositional formulas
  - Formula := prop | ( $\neg$ Formula) | (Formula o Formula).
  - ... where prop  $\in$  Prop and o is one of the binary relations



# Formulas

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- Examples of **well-formed** formulas:
  - $(\neg A)$
  - $(\neg(\neg A))$
  - $(A \wedge (B \wedge C))$
  - $(A \rightarrow (B \rightarrow C))$
- Correct expressions of Propositional Logic are full of unnecessary parenthesis.

# Formulas

- **Abbreviations.** We write

$$A \circ B \circ C \circ \dots$$

- in place of

$$(A \circ (B \circ (C \circ \dots)))$$

- Thus, we write

$$A \wedge B \wedge C, \quad A \rightarrow B \rightarrow C, \dots$$

- in place of

$$(A \wedge (B \wedge C)), \quad (A \rightarrow (B \rightarrow C))$$

# Formulas

- We omit parenthesis whenever we may restore them through operator precedence:
- $\neg$  binds more strictly than  $\wedge$ ,  $\vee$ , and  $\wedge$ ,  $\vee$  bind more strictly than  $\rightarrow$ ,  $\leftrightarrow$ .
- Thus, we write:

$\neg\neg A$	for	$(\neg(\neg A))$ ,
$\neg A \wedge B$	for	$((\neg A) \wedge B)$
$A \wedge B \rightarrow C$	for	$((A \wedge B) \rightarrow C)$ , ...

# Propositional Logic: Semantics

- Truth tables define the semantics (=meaning) of the operators
- Convention: 0 = false, 1 = true

p	q	$p \wedge q$	$p \vee q$	$p \rightarrow q$
0	0	0	0	1
0	1	0	1	1
1	0	0	1	0
1	1	1	1	1



# Propositional Logic: Semantics

- Truth tables define the semantics (=meaning) of the operators

p	q	$\neg p$	$p \leftrightarrow q$	$p \oplus q$
0	0	1	1	0
0	1	1	0	1
1	0	0	0	1
1	1	0	1	0



## Back to Q1

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- Q1: How many binary operators can we define that have different semantic definition ?
  - A: 16

# Assignments

- Definition: A truth-values **assignment**,  $\alpha$ , is an element of  $2^{\text{Prop}}$  (i.e.,  $\alpha \in 2^{\text{Prop}}$ ).
- In other words,  $\alpha$  is a subset of the variables that are assigned true.
- Equivalently, we can see  $\alpha$  as a mapping from variables to truth values:  
$$\alpha : \text{Prop} \mapsto \{0,1\}$$
  - Example:  $\alpha: \{A \mapsto 0, B \mapsto 1, \dots\}$



## Satisfaction relation ( $\models$ ): intuition

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- An assignment can either **satisfy** or not satisfy a given formula.
- $\alpha \models \varphi$  means
  - $\alpha$  satisfies  $\varphi$  or
  - $\varphi$  holds at  $\alpha$  or
  - $\alpha$  is a model of  $\varphi$
- We will first see an example.
- Then we will define these notions formally.

# Example

- Let  $\phi = (A \vee (B \rightarrow C))$
- Let  $\alpha = \{A \mapsto 0, B \mapsto 0, C \mapsto 1\}$
- Q: Does  $\alpha$  satisfy  $\phi$ ?
  - (in symbols: does it hold that  $\alpha \models \phi$  ? )
  
- A:  $(0 \vee (0 \rightarrow 1)) = (0 \vee 1) = 1$ 
  - Hence,  $\alpha \models \phi$ .
  
- Let us now formalize an evaluation process.

# The satisfaction relation ( $\models$ ): formalities

- $\models$  is a relation:  $\models \subseteq (2^{\text{Prop}} \times \text{Formula})$ 
  - Examples:
    - $(\{a\}, a \vee b)$  // the assignment  $\alpha = \{a\}$  satisfies  $a \vee b$
    - $(\{a,b\}, a \wedge b)$
- Alternatively:  $\models \subseteq (\{0,1\}^{\text{Prop}} \times \text{Formula})$ 
  - Examples:
    - $(01, a \vee b)$  // the assignment  $\alpha = \{a \mapsto 0, b \mapsto 1\}$  satisfies  $a \vee b$
    - $(11, a \wedge b)$

# The satisfaction relation ( $\models$ ): formalities

- $\models$  is defined recursively:
  - $\alpha \models p$  if  $\alpha(p) = \text{true}$
  - $\alpha \models \neg\varphi$  if  $\alpha \not\models \varphi$ .
  - $\alpha \models \varphi_1 \wedge \varphi_2$  if  $\alpha \models \varphi_1$  and  $\alpha \models \varphi_2$
  - $\alpha \models \varphi_1 \vee \varphi_2$  if  $\alpha \models \varphi_1$  or  $\alpha \models \varphi_2$
  - $\alpha \models \varphi_1 \rightarrow \varphi_2$  if  $\alpha \models \varphi_1$  implies  $\alpha \models \varphi_2$
  - $\alpha \models \varphi_1 \leftrightarrow \varphi_2$  if  $\alpha \models \varphi_1$  iff  $\alpha \models \varphi_2$

# From definition to an evaluation algorithm

- Truth Evaluation Problem
  - Given  $\varphi \in \text{Formula}$  and  $\alpha \in 2^{\text{AP}(\varphi)}$ , does  $\alpha \models \varphi$  ?

```
Eval( $\varphi, \alpha$ ) {  
  If  $\varphi \equiv A$ , return  $\alpha(A)$  .  
  If  $\varphi \equiv (\neg\varphi_1)$  return  $\neg\text{Eval}(\varphi_1, \alpha)$  )  
  If  $\varphi \equiv (\varphi_1 \circ \varphi_2)$   
    return  $\text{Eval}(\varphi_1, \alpha) \circ \text{Eval}(\varphi_2, \alpha)$   
}
```

- Eval uses polynomial time and space.



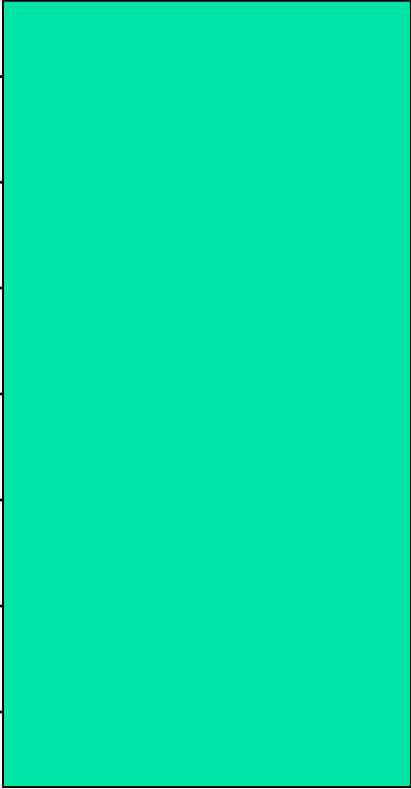
# It doesn't give us more than what we already know...

- Recall our example
  - Let  $\phi = (A \vee (B \rightarrow C))$
  - Let  $\alpha = \{A \mapsto 0, B \mapsto 0, C \mapsto 1\}$
- $\text{Eval}(\phi, \alpha) = \text{Eval}(A, \alpha) \vee \text{Eval}(B \rightarrow C, \alpha) =$   
 $0 \vee \text{Eval}(B, \alpha) \rightarrow \text{Eval}(C, \alpha) =$   
 $0 \vee (0 \rightarrow 1) = 0 \vee 1 = 1$
- Hence,  $\alpha \models \phi$ .

# We can now extend the truth table to formulas

p	q	$(p \rightarrow (q \rightarrow p))$	$(p \wedge \neg p)$	$p \vee \neg q$
0	0	1	0	1
0	1	1	0	0
1	0	1	0	1
1	1	1	0	1

# We can now extend the truth table to formulas

$x_1$	$x_2$	$x_3$	$x_1 \rightarrow (x_2 \rightarrow \neg x_3)$
0	0	0	
0	0	1	
0	1	0	
0	1	1	
1	0	0	
1	0	1	
1	1	0	
1	1	1	

# Set of assignments

- Intuition: a formula specifies a **set of truth assignments**.
- Function **models**: Formula  $\mapsto 2^{2^{\text{Prop}}}$   
(a formula  $\mapsto$  set of satisfying assignments)
- Recursive definition:
  - $\text{models}(A) = \{\alpha \mid \alpha(A) = 1\}, A \in \text{Prop}$
  - $\text{models}(\neg\varphi_1) = 2^{\text{Prop}} - \text{models}(\varphi_1)$
  - $\text{models}(\varphi_1 \wedge \varphi_2) = \text{models}(\varphi_1) \cap \text{models}(\varphi_2)$
  - $\text{models}(\varphi_1 \vee \varphi_2) = \text{models}(\varphi_1) \cup \text{models}(\varphi_2)$
  - $\text{models}(\varphi_1 \rightarrow \varphi_2) = (2^{\text{Prop}} - \text{models}(\varphi_1)) \cup \text{models}(\varphi_2)$

## Example

- $\text{models}(A \vee B) = \{\{10\}, \{01\}, \{11\}\}$
- This is compatible with the recursive definition:

$$\begin{aligned}\text{models}(A \vee B) &= \\ \text{models}(A) \cup \text{models}(B) &= \\ \{\{10\}, \{11\}\} \cup \{\{01\}, \{11\}\} &= \\ \{\{10\}, \{01\}, \{11\}\} &\end{aligned}$$



# Theorem

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- Let  $\varphi \in \text{Formula}$  and  $\alpha \in 2^{\text{Prop}}$ , then the following statements are equivalent:
  1.  $\alpha \models \varphi$
  2.  $\alpha \in \text{models}(\varphi)$

# Only the projected assignment matters...

- $AP(\varphi)$  – the Atomic Propositions in  $\varphi$ .
- Clearly  $AP(\varphi) \subseteq \text{Prop}$ .
- Let  $\alpha_1, \alpha_2 \in 2^{\text{Prop}}$ ,  $\varphi \in \text{Formula}$ .
- **Lemma:** if  $\alpha_1|_{AP(\varphi)} = \alpha_2|_{AP(\varphi)}$ , then

$$\alpha_1 \models \varphi \text{ iff } \alpha_2 \models \varphi$$

Projection

**Corollary:**  $\alpha \models \varphi$  iff  $\alpha|_{AP(\varphi)} \models \varphi$

- We will assume, for simplicity, that  $\text{Prop} = AP(\varphi)$ .

# Extension of $\models$ to sets of assignments

- Let  $\varphi \in \text{Formula}$
- Let  $T$  be a set of assignments, i.e.,  $T \subseteq 2^{2^{\text{Prop}}}$
- Definition.

$T \models \varphi$  if  $T \subseteq \text{models}(\varphi)$

- i.e.,  $\models \subseteq 2^{2^{\text{Prop}}} \times \text{Formula}$



# Extension of $\models$ to formulas

- $\models \subseteq 2^{\text{Formula}} \times 2^{\text{Formula}}$
- **Definition.** Let  $\Gamma_1, \Gamma_2$  be prop. formulas.

$$\Gamma_1 \models \Gamma_2$$

iff  $\text{models}(\Gamma_1) \subseteq \text{models}(\Gamma_2)$

iff for all  $\alpha \in 2^{\text{Prop}}$

if  $\alpha \models \Gamma_1$  then  $\alpha \models \Gamma_2$

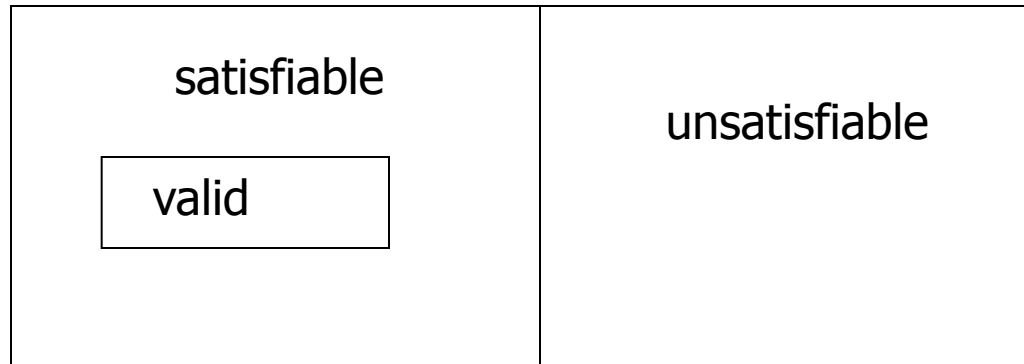
**Examples:**

$$x_1 \wedge x_2 \models x_1 \vee x_2$$

$$x_1 \wedge x_2 \models x_2 \vee x_3$$

# Semantic Classification of formulas

- A formula  $\varphi$  is called **valid** if  $\text{models}(\varphi) = 2^{\text{Prop}}$ .  
(also called a **tautology**).
- A formula  $\varphi$  is called **satisfiable** if  $\text{models}(\varphi) \neq \emptyset$ .
- A formula  $\varphi$  is called **unsatisfiable** if  $\text{models}(\varphi) = \emptyset$ .  
(also called a **contradiction**).

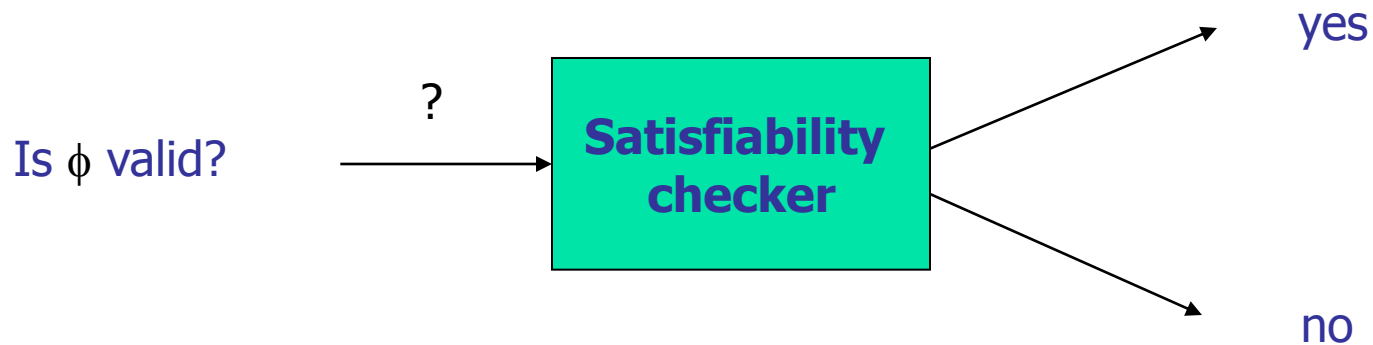


# Validity, satisfiability... in truth tables

p	q	$(p \rightarrow (q \rightarrow q))$	$(p \wedge \neg p)$	$p \vee \neg q$
0	0	1	0	1
0	1	1	0	0
1	0	1	0	1
1	1	1	0	1

# Characteristics of valid/sat. formulas...

- Lemma
  - A formula  $\phi$  is valid iff  $\neg\phi$  is unsatisfiable
  - $\phi$  is satisfiable iff  $\neg\phi$  is not valid





# Look what we can do now...

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- We can write:

- $\models \phi$                       when  $\phi$  is **valid**
- $\not\models \phi$                       when  $\phi$  is **not valid**
- $\models \neg\phi$                       when  $\phi$  is **satisfiable**
- $\not\models \neg\phi$                       when  $\phi$  is **unsatisfiable**



# Examples

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- $(x_1 \wedge x_2) \rightarrow (x_1 \vee x_2)$  is valid
- $(x_1 \vee x_2) \rightarrow x_1$  is satisfiable
- $(x_1 \wedge x_2) \wedge \neg x_1$  is unsatisfiable

# Time for equivalences

- Here are some valid formulas:
  - $\models A \wedge 1 \leftrightarrow A$
  - $\models A \wedge 0 \leftrightarrow 0$
  - $\models \neg\neg A \leftrightarrow A$  // The double-negation rule
  - $\models A \wedge (B \vee C) \leftrightarrow (A \wedge B) \vee (A \wedge C)$
  
- Some more (De-Morgan rules):
  - $\models \neg(A \wedge B) \leftrightarrow (\neg A \vee \neg B)$
  - $\models \neg(A \vee B) \leftrightarrow (\neg A \wedge \neg B)$

# A minimal set of binary operators

- Recall the question: what is the **minimal** set of operators necessary?
- A: Through such equivalences all Boolean operators can be written with a **single operator** (NAND).
  - Indeed, typically industrial circuits only use one type of logical gate
- We'll see how two are enough:  $\neg$  and  $\wedge$ 
  - Or:  $\models (A \vee B) \leftrightarrow \neg(\neg A \wedge \neg B)$
  - Implies:  $\models (A \rightarrow B) \leftrightarrow (\neg A \vee B)$
  - Equivalence:  $\models (A \leftrightarrow B) \leftrightarrow (A \rightarrow B) \wedge (B \rightarrow A)$
  - ...



# The decision problem of formulas

- The decision problem:

Given a propositional formula  $\phi$ , is  $\phi$  satisfiable ?

- An algorithm that always **terminates** with a **correct answer** to this problem is called a **decision procedure** for propositional logic.



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- Brief historical notes on logic
- Propositional Logic :Syntax
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- Satisfiability and validity
- **Modeling with Propositional logic**
- Normal forms
- Deductive proofs and resolution



# Before we solve this problem...

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- Q: Suppose we can solve the satisfiability problem...  
how can this help us?
  
- A: There are numerous problems in the industry that are solved via the satisfiability problem of propositional logic
  - Logistics...
  - Planning...
  - Electronic Design Automation industry...
  - Cryptography...
  - ... (every NP-P problem...)



## Example 2: placement of wedding guests

- Three chairs in a row: 1,2,3
- We need to place Aunt, Sister and Father.
- Constraints:
  - Aunt doesn't want to sit near Father
  - Aunt doesn't want to sit in the left chair
  - Sister doesn't want to sit to the right of Father
- Q: Can we satisfy these constraints?

## Example 2 (cont'd)

- Denote: Aunt = 1, Sister = 2, Father = 3
- Introduce a propositional variable for each pair (person, place).
- $x_{ij}$  = person  $i$  is sited in place  $j$ , for  $1 \leq i, j \leq 3$
- Constraints:
  - Aunt doesn't want to sit near Father:  
 $((x_{1,1} \vee x_{1,3}) \rightarrow \neg x_{3,2}) \wedge (x_{1,2} \rightarrow (\neg x_{3,1} \wedge \neg x_{3,3}))$
  - Aunt doesn't want to sit in the left chair  
 $\neg x_{1,1}$
  - Sister doesn't want to sit to the right of Father  
 $x_{3,1} \rightarrow \neg x_{2,2} \wedge x_{3,2} \rightarrow \neg x_{2,3}$

## Example 2 (cont'd)

- More constraints:

- Each person is placed:

$$(x_{1,1} \vee x_{1,2} \vee x_{1,3}) \wedge$$

$$(x_{2,1} \vee x_{2,2} \vee x_{2,3}) \wedge$$

$$(x_{3,1} \vee x_{3,2} \vee x_{3,3})$$

- Or, more concisely:

$$\bigwedge_{i=1}^3 \bigvee_{j=1}^3 x_{i,j}$$

- Not more than one person per chair:

$$\bigwedge_{j=1}^3 \bigwedge_{i=1}^2 \bigwedge_{k=i+1}^3 (\neg x_{i,j} \vee \neg x_{k,j})$$

- Overall 9 variables, 23 conjoined constraints.



## Example 3: assignment of frequencies

- $n$  radio stations
- For each assign one of  $k$  transmission frequencies,  $k < n$ .
- $E$  -- set of pairs of stations, that are too close to have the same frequency.
  
- Q: which graph problem does this remind you of ?

## Example 3 (cont'd)

- $x_{i,j}$  – station  $i$  is assigned frequency  $j$ , for  $1 \leq i \leq n$ ,  $1 \leq j \leq k$ .

- Every station is assigned at least one frequency:

$$\bigwedge_{i=1}^n \bigvee_{j=1}^k x_{ij}$$

- Every station is assigned not more than one frequency:

$$\bigwedge_{i=1}^n \bigwedge_{j=1}^{k-1} (x_{ij} \rightarrow \bigwedge_{j < t \leq k} \neg x_{it})$$

- Close stations are not assigned the same frequency.

For each  $(i,j) \in E$ ,

$$\bigwedge_{t=1}^k (x_{it} \rightarrow \neg x_{jt})$$





## Two classes of algorithms for validity

- Q: Is  $\varphi$  satisfiable ( $\neg\neg\varphi$  is valid) ?
- Complexity: NP-Complete (the first-ever! – Cook's theorem)
- Two classes of algorithms for finding out:
  1. **Enumeration** of possible solutions (Truth tables etc).
  2. **Deduction**
- More generally (beyond propositional logic):
  - Enumeration is possible only in some logics.
  - Deduction cannot necessarily be fully automated.

# The satisfiability problem: enumeration

- Given a formula  $\varphi$ , is  $\varphi$  satisfiable?

```
Boolean SAT ( $\varphi$ ) {  
    B := false  
    for all  $\alpha \in 2^{AP(\varphi)}$   
        B = B  $\vee$  Eval( $\varphi, \alpha$ )  
    end  
    return B  
}
```

- There must be a better way to do that in practice.



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# Definitions...

- Definition: A **literal** is either an atom or a negation of an atom.
- Let  $\phi = \neg(A \vee \neg B)$ . Then:
  - Atoms:  $AP(\phi) = \{A, B\}$
  - Literals:  $lit(\phi) = \{A, \neg B\}$
- Equivalent formulas can have different literals
  - $\phi = \neg(A \vee \neg B) = \neg A \wedge B$
  - Now  $lit(\phi) = \{\neg A, B\}$



# Definitions...

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- Definition: a **term** is a conjunction of literals
  - Example:  $(A \wedge \neg B \wedge C)$
  
- Definition: a **clause** is a disjunction of literals
  - Example:  $(A \vee \neg B \vee C)$

# Negation Normal Form (NNF)

- Definition: A formula is said to be in Negation Normal Form (NNF) if it only contains  $\neg$ ,  $\wedge$  and  $\vee$  connectives and only atoms can be negated.
- Examples:
  - $\phi_1 = \neg(A \vee \neg B)$  is not in NNF
  - $\phi_2 = \neg A \wedge B$  is in NNF

# Converting to NNF

- Every formula can be converted to NNF in linear time:
  - Eliminate all connectives other than  $\wedge$ ,  $\vee$ ,  $\neg$
  - Use De Morgan and double-negation rules to push negations to the right
- Example:  $\phi = \neg(A \rightarrow \neg B)$ 
  - Eliminate ' $\rightarrow$ ':  $\phi = \neg(\neg A \vee \neg B)$
  - Push negation using De Morgan:  $\phi = (\neg\neg A \wedge \neg\neg B)$
  - Use Double negation rule:  $\phi = (A \wedge B)$

# Disjunctive Normal Form (DNF)

- Definition: A formula is said to be in Disjunctive Normal Form (DNF) if it is a disjunction of terms.
  - In other words, it is a formula of the form

$$\bigvee_i \left( \bigwedge_j l_{i,j} \right)$$

where  $l_{i,j}$  is the  $j$ -th literal in the  $i$ -th term.

- Examples
  - $\phi = (A \wedge \neg B \wedge C) \vee (\neg A \wedge D) \vee (B)$  is in DNF
- DNF is a special case of NNF



# Converting to DNF

- Every formula can be converted to DNF in **exponential** time and space:
  - Convert to NNF
  - Distribute disjunctions following the rule:  
$$\models A \wedge (B \vee C) \leftrightarrow ((A \wedge B) \vee (A \wedge C))$$
- Example:
  - $\phi = (A \vee B) \wedge (\neg C \vee D) =$   
 $((A \vee B) \wedge (\neg C)) \vee ((A \vee B) \wedge D) =$   
 $(A \wedge \neg C) \vee (B \wedge \neg C) \vee (A \wedge D) \vee (B \wedge D)$
  - Q: how many clauses would the DNF have had we started from a conjunction of  $n$  clauses ?



# Satisfiability of DNF

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- Is the following DNF formula satisfiable?

$$(x_1 \wedge x_2 \wedge \neg x_1) \vee (x_2 \wedge x_1) \vee (x_2 \wedge \neg x_3 \wedge x_3)$$

- What is the complexity of satisfiability of DNF formulas?

# Conjunctive Normal Form (CNF)

- Definition: A formula is said to be in Conjunctive Normal Form (**CNF**) if it is a conjunction of clauses.
  - In other words, it is a formula of the form

$$\bigwedge_i (\bigvee_j l_{i,j})$$

where  $l_{i,j}$  is the  $j$ -th literal in the  $i$ -th term.

- Examples
  - $\phi = (A \vee \neg B \vee C) \wedge (\neg A \vee D) \wedge (B)$  is in CNF
- CNF is a special case of NNF



# Converting to CNF

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- Every formula can be converted to CNF:
  - in **exponential** time and space with the same set of atoms
  - in **linear** time and space if new variables are added.
    - In this case the original and converted formulas are “**equi-satisfiable**”.
    - This technique is called **Tseitin’s encoding**.

# Converting to CNF: the exponential way

CNF( $\phi$ ) {

case

$\phi$  is a literal: return  $\phi$

$\phi$  is  $\psi_1 \wedge \psi_2$ : return  $\text{CNF}(\psi_1) \wedge \text{CNF}(\psi_2)$

$\phi$  is  $\psi_1 \vee \psi_2$ : return  $\text{Dist}(\text{CNF}(\psi_1), \text{CNF}(\psi_2))$

}

$\text{Dist}(\psi_1, \psi_2)$  {

case

$\psi_1$  is  $\phi_{11} \wedge \phi_{12}$ : return  $\text{Dist}(\phi_{11}, \psi_2) \wedge \text{Dist}(\phi_{12}, \psi_2)$

$\psi_2$  is  $\phi_{21} \wedge \phi_{22}$ : return  $\text{Dist}(\psi_1, \phi_{21}) \wedge \text{Dist}(\psi_1, \phi_{22})$

else: return  $\psi_1 \vee \psi_2$

# Converting to CNF: the exponential way

- Consider the formula

$$\phi = (x_1 \wedge y_1) \vee (x_2 \wedge y_2)$$

- $\text{CNF}(\phi) =$

$$(x_1 \vee x_2) \wedge$$

$$(x_1 \vee y_2) \wedge$$

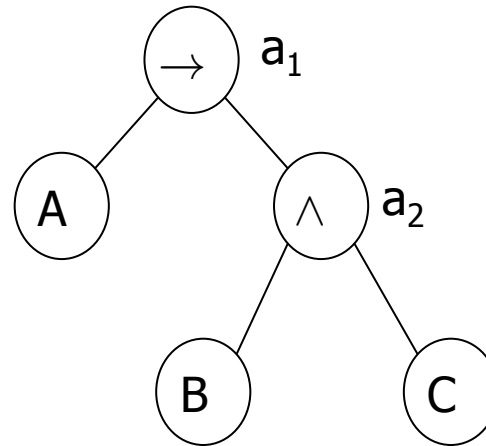
$$(y_1 \vee x_2) \wedge$$

$$(y_1 \vee y_2)$$

- **Now consider:**  $\phi_n = (x_1 \wedge y_1) \vee (x_2 \wedge y_2) \vee \cdots \vee (x_n \wedge y_n)$
- **Q:** How many clauses  $\text{CNF}(\phi)$  returns ?
- **A:**  $2^n$

# Converting to CNF: Tseitin's encoding

- Consider the formula  $\phi = (A \rightarrow (B \wedge C))$
- The parse tree:



- Associate a new auxiliary variable with each gate.
- Add constraints that define these new variables.
- Finally, enforce the root node.

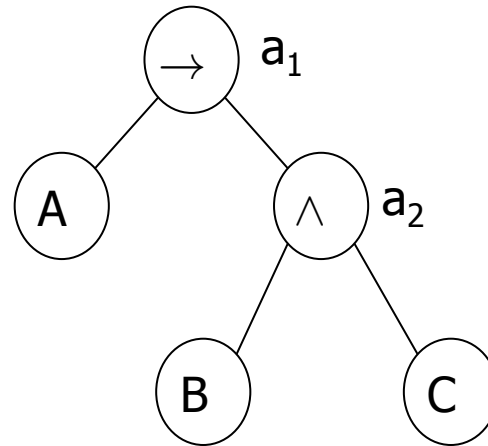
# Converting to CNF: Tseitin's encoding

- Need to satisfy:

$$(a_1 \leftrightarrow (A \rightarrow a_2)) \wedge$$

$$(a_2 \leftrightarrow (B \wedge C)) \wedge$$

$$(a_1)$$



- Each such constraint has a CNF representation with 3 or 4 clauses.



# Converting to CNF: Tseitin's encoding

- Need to satisfy:

$$(a_1 \leftrightarrow (A \rightarrow a_2)) \wedge$$

$$(a_2 \leftrightarrow (B \wedge C)) \wedge$$

$$(a_1)$$

- **First:**  $(a_1 \vee A) \wedge (a_1 \vee \neg a_2) \wedge (\neg a_1 \vee \neg A \vee a_2)$
- **Second:**  $(\neg a_2 \vee B) \wedge (\neg a_2 \vee C) \wedge (a_2 \vee \neg B \vee \neg C)$

# Converting to CNF: Tseitin's encoding

- Let's go back to

$$\phi_n = (x_1 \wedge y_1) \vee (x_2 \wedge y_2) \vee \cdots \vee (x_n \wedge y_n)$$

- With Tseitin's encoding we need:

- $n$  auxiliary variables  $a_1, \dots, a_n$ .
- Each adds 3 constraints.
- Top clause:  $(a_1 \vee \cdots \vee a_n)$

- Hence, we have

- $3n + 1$  clauses, instead of  $2^n$ .
- $3n$  variables rather than  $2n$ .



## What now?

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- Time to solve the decision problem for propositional logic.
  - The only algorithm we saw so far was building truth tables.



# Two classes of algorithms for validity

- Q: Is  $\varphi$  valid ?
  - Equivalently: is  $\neg\varphi$  satisfiable?
- Two classes of algorithm for finding out:
  1. Enumeration of possible solutions (Truth tables etc).
  2. Deduction
- In general (beyond propositional logic):
  - Enumeration is possible only in some theories.
  - Deduction typically cannot be fully automated.

# The satisfiability Problem: enumeration

- Given a formula  $\varphi$ , is  $\varphi$  satisfiable?

```
Boolean SAT ( $\varphi$ ) {  
    B:=false  
    for all  $\alpha \in 2^{AP(\varphi)}$   
        B = B  $\vee$  Eval( $\varphi, \alpha$ )  
    end  
    return B  
}
```

- NP-Complete (the first-ever! – Cook's theorem)



# A Brief Introduction to Logic - Outline

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- Brief historical notes on logic
- Propositional Logic :Syntax
- Propositional Logic :Semantics
- Satisfiability and validity
- Modeling with Propositional logic
- Normal forms
- **Deductive proofs and resolution**

# Deduction requires axioms and Inference rules

- Inference rules:

Antecedents (rule-name)  
Consequent

- Examples:

$$\frac{A \rightarrow B \quad B \rightarrow C}{A \rightarrow C} \quad (\text{trans})$$

$$\frac{A \rightarrow B \quad A}{B} \quad (\text{M.P.})$$

# Axioms

- Axioms are inference rules with no antecedents, e.g.,

$$\frac{}{A \rightarrow (B \rightarrow A)} \quad (\text{H1})$$

- We can turn an inference rule into an axiom if we have ‘ $\rightarrow$ ’ in the logic.
- So the difference between them is not sharp.



# Proofs

- A proof uses a given set of inference rules and axioms.
- This is called the *proof system*.
- Let  $\mathcal{H}$  be a proof system.
  
- $\Gamma \vdash_{\mathcal{H}} \varphi$  means: there is a proof of  $\varphi$  in system  $\mathcal{H}$  whose premises are included in  $\Gamma$
  
- $\vdash_{\mathcal{H}}$  is called the provability relation.

## Example

- Let  $\mathcal{H}$  be the proof system comprised of the rules **Trans** and **M.P.** that we saw earlier.
- Does the following relation holds?

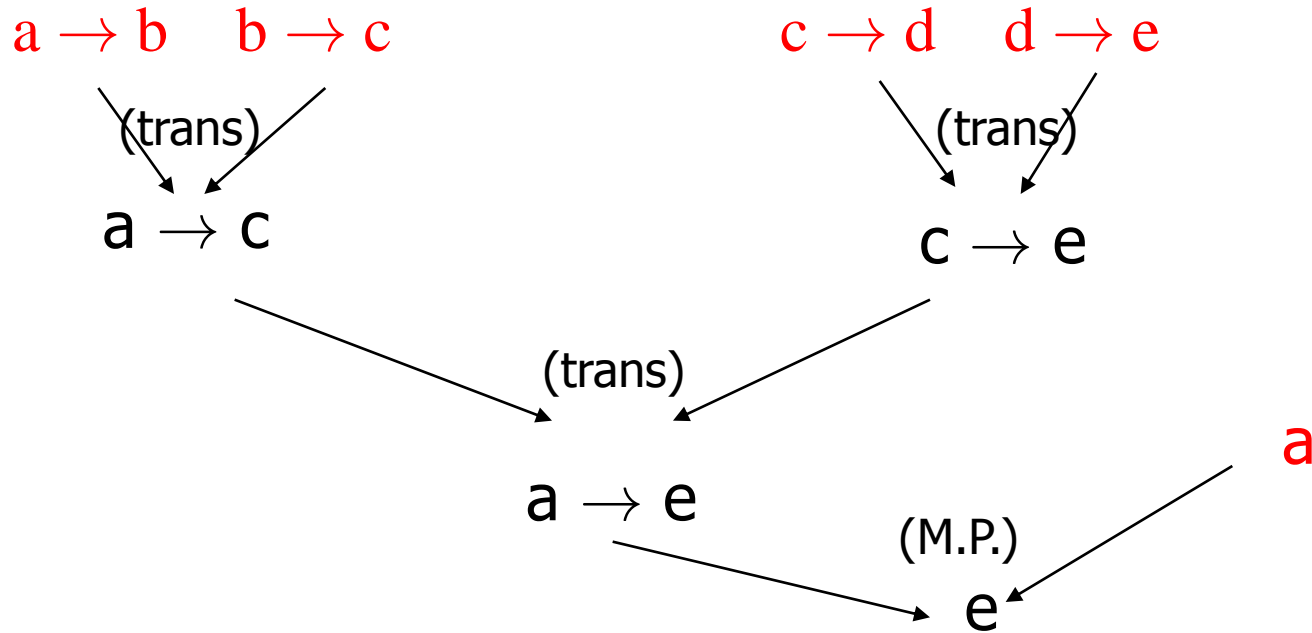
$$a \rightarrow b, b \rightarrow c, c \rightarrow d, d \rightarrow e, a \vdash_{\mathcal{H}} e$$

# Deductive proof: example

$a \rightarrow b, b \rightarrow c, c \rightarrow d, d \rightarrow e, a \vdash_{\mathcal{H}} e$

1.  $a \rightarrow b$       premise
2.  $b \rightarrow c$       premise
3.  $a \rightarrow c$       1,2,Trans
4.  $c \rightarrow d$       premise
5.  $d \rightarrow e$       premise
6.  $c \rightarrow e$       4,5, Trans
7.  $a \rightarrow e$       3,6, Trans
8.  $a$               premise
9.  $e$                 7,8.M.P.

# Proof graph (DAG)



Roots: premises



# Proofs

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- The problem:  $\vdash$  is a relation defined by syntactic transformations of the underlying proof system.
- For a given proof system  $\mathcal{H}$ ,
  - does  $\vdash$  conclude “correct” conclusions from premises ?
  - Can we conclude all true statements with  $\mathcal{H}$ ?
- Correct with respect to what ?
  - With respect to the semantic definition of the logic. In the case of propositional logic truth tables gives us this.

# Soundness and completeness

- Let  $\mathcal{H}$  be a proof system
- *Soundness* of  $\mathcal{H}$ : if  $\vdash_{\mathcal{H}} \varphi$  then  $\models \varphi$
- *Completeness* of  $\mathcal{H}$  : if  $\models \varphi$  then  $\vdash_{\mathcal{H}} \varphi$
- How to prove soundness and completeness ?



# Soundness and completeness of procedures

- The definitions so far referred to proof systems
- What does soundness and completeness mean for general decision algorithms ?



# Soundness and Completeness

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- Definition [**soundness**]: A procedure for the decision problem is sound if
  - when it returns “Valid”...
  - ... the input formula is valid.
  
- Definition [**completeness**]: A procedure for the decision problem is complete if
  - it always terminates, and
  - it returns “Valid” when the input formula is valid.





# Decidability

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- Definition [decidability]

A logic is **decidable** if there is a sound and complete algorithm that decides if a well-formed expression in this logic is valid.

# Soundness and Completeness

- **Soundness:** “when I say that it rains, it rains”
- **Completeness:** “If asked, I always reply (in a finite time...). When it rains, I say that it rains”



# Soundness and Completeness (cont'd)

- **Algorithm #1:** for checking if it rains outside:  
“stand right outside the door and say ‘it rains’”



- It is **not sound** because you might say it rains when it doesn't.
- But it is **complete**: you always get an answer in a finite time, and when it rains you say it rains.

# Soundness and Completeness (cont'd)

- **Algorithm #2** for checking if it rains outside:  
“stand right outside the door and say ‘**it rains**’ if and only if you feel the rain”



- It is **sound** because you say it rains only if it actually rains.
- It is **incomplete** because you do not say anything if it doesn't rain (we do not know whether it doesn't rain, or it takes the person too long to answer...).

# Soundness and Completeness (cont'd)

- **Algorithm #2** for checking if it rains outside:  
“stand right outside the door and say ‘**it rains**’ if and only if you feel the rain, *after a second*”



- It is **sound** because you say it rains only if it actually rains.
- It is **complete**.

- 
- 
- Now let's go back to proof systems...

# Example: Hilbert axiom system ( $\mathcal{H}$ )

- Let  $\mathcal{H}$  be (M.P) + the following axiom schemas:

$$\frac{}{A \rightarrow (B \rightarrow A)} \quad (\text{H1})$$

$$\frac{}{((A \rightarrow (B \rightarrow C)) \rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C)))} \quad (\text{H2})$$

$$\frac{}{(\neg B \rightarrow \neg A) \rightarrow (A \rightarrow B)} \quad (\text{H3})$$

- $\mathcal{H}$  is sound and complete

# Soundness and completeness

- To prove soundness of  $\mathcal{H}$ , prove the soundness of its axioms and inference rules (easy with truth-tables). For example:

A	B	$A \rightarrow (B \rightarrow A)$
0	0	1
0	1	1
1	0	1
1	1	1

- Completeness – harder, but possible.



# The resolution inference system

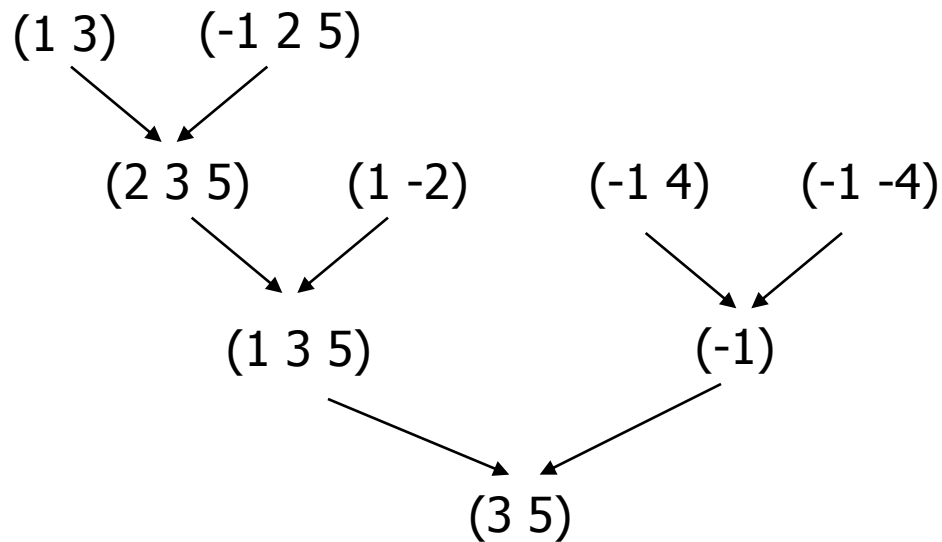
- The **resolution** inference rule for CNF:

$$\frac{(l \vee l_1 \vee \dots \vee l_n) \quad (\neg l \vee l'_1 \vee \dots \vee l'_n)}{(l_1 \vee \dots \vee l_n \vee l'_1 \vee \dots \vee l'_n)} \quad (\text{Resolution})$$

- Example: 
$$\frac{(a \vee b) \quad (\neg a \vee c)}{(b \vee c)}$$

# Proof by resolution

- Let  $\varphi = (1\ 3) \wedge (-1\ 2\ 5) \wedge (-1\ 4) \wedge (-1\ -4) \wedge (1\ -2)$
- We'll try to prove  $\varphi \rightarrow (3\ 5)$





# Resolution

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- Resolution is a sound and complete inference system for CNF
- If the input formula is unsatisfiable, **there exists** a proof of the **empty clause**

# Example

- Let  $\varphi = (1\ 3) \wedge (-1\ 2) \wedge (-1\ 4) \wedge (-1\ -4) \wedge (1\ -2) \wedge (-3)$

